#6

Date: December 11, 2002

Application Serial No. 10/018,621 Attorney Docket No. 508-042.008

TO:	Mamie P. Person	
COMPANY/FIRM:	U.S. Patent and Trademark Office	
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COMPANY/FIRM:	Ware, Fressola, Van Der Sluys & Adolphson LLP	
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CONFIRMATION BY MAIL: NO

Number of pages (including this transmittal page): 21

If facsimile transmission is incomplete, please notify sender at (203) 261-1234.

Dear Ms. Person:

Thank you very much for your telephone call today. Per our conversation, attached are the documents filed with the USPTO in response to the Notification of Missing Requirements (not including the Assignment documents and IDS documents). Please let me know if you need anything further. Thank you.

WARE, FRESSOLA, VAN DER SLUYS & ADOLPHSON LLP BRADFORD GREEN, BUILDING FIVE 755 MAIN STREET, MONROE, CONNECTICUT 06468 TELEPHONE NO. (203) 261-1234 FACSIMILE NO. (203) 261-5676

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Applicant For:	M. Bura et al. BRIDGE JOINT	1.	
Serial No	10/018,621	Filed: December 17, 2001	_
The following	ng papers were received in the Completion of F	U.S. Patent and Trademark Office:	
X Tran	smittal Requirements	Amendment and/or Respons	е
	cification - No. of Pages	XInfo. Disclosure Statement	
	ms - No. of Pages	TIM or SM Appl No. of Pages_	_
Abs	tract - No. of Pages	Specimens - No. of	
XDec	and Power of Atty, (w/adda	d X Fee: \$955.00* Enclose	se
XAss	gnment page) Drawings - No. of Sheets	_
Sma	Ill Entity Statement	X Statement of Facts	
	signment Recordation Co	ver X Form PTO-1449	
She		X copies of Intl. Search	
X Not	ification of Missing F	equirements Report & 2 reference	ce
X Pet	ition Under 37 OFR 1.4		
		*check no./99//	
Attorney:	_ Date Mailed:	Docket No.	
	AF 08/	01/02 508-042.008	
Please date	stamp and 19100 Rec n PCT	PTO 08 AUG 2002	

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AUG 19 2002

FILE 508.042.8 ANS'D. 04



UNITED STATES PATENT AND TRADEMARK OFFICE

Contemporate for Patents, from N. United States Parent 1985 Technical States Parent 1985 Techniques (14), 2020

U.S APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY DOCKET NO
10/018,621 Michael J Buta 508-042.9

INTERNATIONAL APPLICATION NO
PCT/GB00/02224

004955
WARE FRESSOLA VAN DER SLUYS &
ADOLPHSON, LLP
BRADFORD GREEN BUILDING 5
755 MAIN STREET, P O BOX 224
MONROE, CT 06468

1.A. FILING DATE PRIORITY DATE 12/28/2000 06/18/1999

CONFIRMATION NO. 4979
371 FORMALITIES LETTER

***C000000007366115**

Date Mailed: 02/05/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- · U.S. Basic National Fees
- · Indication of Small Entity Status
- Priority Document
- . Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- · Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

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FEB 1 1 2002

FILE 508-042.8 ANS'D. CLAS

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).





SUMMARY OF FEES DUE:

·Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/018,621	PCT/GB00/02224	508-042.9

FORM PCT/DO/EO/905 (371 Formalities Notice)

			•	
Practit	ioner's Docket No	508 <u>-042.008</u>		PATENT
	IN THE UN	ITED STATES PATE	NT AND TRADEMARK OFFIC	CE
In re ap	oplication of: M. Bura	et al.	Confirmation No.: 4979	•
Applica	ation No.: 10/018,621	·	Group No.: Not Yet Ass	lgned
Filed:	December 17, 2001		Examiner: Not Yet Ass	igned
For: B	RIDGE JOINT			
Box M	ant Commissioner for ISSING PARTS ngton, D.C. 20231	Patents		
	_	OMPLETION OF FILE - NONPROVISION (check and complete to		
1.			g Requirements Under 35 US e)) mailed <u>February 5, 200</u>	
NOTE:	OTE: If these papers are filed before the office letter issues, adequate identification of the original papers should be made.g., in addition to the name of the inventor and title of invention, the filing date based on the "Express M procedure, the serial number from the return post card or the attorney's docket number added.		ed on the "Express Mail"	
	☑ A copy of the Not	ification of Missing Re	quirements Under 35 USC §3	71 is enclosed.
NOTE:	The PTO requires that a co the application.	ppy of Form PTO-1533 be n	eturned with the response to the notic	ee to file missing parts to
	CERTIFICA	TE OF MAILING/TRA	NSMISSION (37 C.F.R. § 1.8	(a))
I hereby	certify that this corresponder	nce is, on the date shown b	elow, being:	
	MAILING		FACSIMILE	

 ■ deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: August 1, 2002

Annemarie Maher (type or print name of person certifying)

(Completion of Filing Requirements - Nonprovisional Application [5-1] - page 1 of 6)

U.S. Patent Application No. 10/018,621

DECLARATION OR OATH

II.	\mathbf{x}	No declaration or oath was filed. Enclosed is the original declaration or oath for this
		application and a Petition Under 37 CFR §1.47(a) For Acceptance Of Declaration By Joint
		Inventor On Behalf Of Himself And Nonsigning Inventor Michael J. BURA, Who Cannot Be
		Located.

		-ocare	u.
NOTE	If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § during the pendency of the application will act to correct the earlier identification of inventorship. 37 C.F. 1.48(f)(1).		
			OR
ε			eclaration or oath that was filed was determined to be defective. A new original oath or ation is attached.
	1	NOTE:	For surcharge fee for filing declaration after filing date complete item VI(3) below.
	,	NOTE:	"The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below with be accepted as complying with the identification requirement of 37 C.F.R. § 1.63:
			*(A) application number (consisting of the series code and the serial number, e.g., 08/123,456;
			*(B) serial number and filing date;
			*(C) attorney docket number which was on the specification as filed;
			"(D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration, or
•			"(E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration."
			M.P.E.P. § 601.01(a) 7 th Ed.
	,	NOTE:	Another minimum found acceptable in the declaration is the filing date (i.e., date of express mail) and the express mail number, useful where the serial number is not yet known. But note the practice where the express mail deposit is a Saturday, Sunday or holiday within the District of Columbia. 37 C.F.R. § 1.10(c).
			(complete (c) or (d), if applicable)
Attac	hed	is a	
(c)	0	State that t	ement by a registered attorney that the application filed in the PTO is the application he inventor executed by signing the declaration.
(d)	0	State amer	ement that the "attached" specification is a copy of the specification and any adments thereto that were filed in the PTO to obtain the filing date.
			AMENDMENT CANCELLING CLAIMS
M.	0	Cano	el claims inclusive.

(Completion of Filing Requirements - Nonprovisional Application [5-1] - page 2 of 6)

III.

U.S. Patent Application No. 10/018,621

TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

IV.		Submitted herewith is an English translation of the nor as originally filed. Also submitted herewith is a stater of the translation. It is requested that this translation purposes in the PTO.	ment by the translator of the accuracy
NOTE	: F	or fee processing a non-English application, complete item VI(5) bek	ow.
NOTE	: a	non-English oath or declaration in the form provided by the PTO nee	ed not be translated. 37 C.F.R. § 1.69(b).
		SMALL ENTITY STATUS	.
٧.	×	A statement that this filing is by a small entity	
	•	(check and complete applicable	items)
		has already been asserted.	
		☐ is attached.	
		□ A separate refund request accompanies this p	paper.
		□ was filed on (original).	
VI.		COMPLETION FEES	•
WA.	RNIN	IG: Failure to submit the surcharge fees where required will cause C.F.R. § 1.53.	the application to become abandoned, 37
NO	TE:	For effect on fees of failure to establish status, or change status, as	a small entity, see 37 C.F.R. § 1.28(a).
1.	Fili	ng fee	
		original patent application (37 C.F.R. § 1.16(a) - \$740.00; small entity - \$370.00)	\$
		design application (37 C.F.R. § 1.16(f) - \$330.00; small entity - \$165.00)	\$
2.	Fee	es for claims	
		each independent claim in excess of 3 (37 C.F.R. § 1.16(b) - \$84.00; small entity - \$42.00)	\$
		each claim in excess of 20 (37 C.F.R. § 1.16(c) - \$18.00; small entity - \$9.00)	\$
		multiple dependent claim(s) (37 C.F.R. § 1.16(d) - \$280.00; small entity - \$140.00)	\$

(Completion of Filing Requirements - Nonprovisional Application [5-1] - page 3 of 6)

U.S. Patent Application No. 10/018,621

3.	Su	rcharge Fees			
	X	late payment of filing fee and/or late filing of original declaration - \$130.00);	on or oa	oth (37 C.I	F.R. § 1.16(e
			\$	65.00	···
NOTE:	E s	iven where a facsimile declaration or oath signed by the inventor(s) was purcharge fee is required.	eart of th	e originally	filed papers, th
NOTE:	5	both the filing fee and declaration or oath were missing from the original pa 1.16(e) is that only one surcharge Fee need be paid whether the later filed or re submitted afterwards at the same time or at different times.	pers, the ath or de	Office prac	lice under C.F.J d/or the fillng fe
4.	X	Petition and fee for filing by other than all the inventors or a person not the inventor			•
		(37 C.F.R. §§ 1.17(i) and 1.47 - \$130.00)	\$	130.00	
-		Fee for processing an application filed with a specification in a non-English language			
		(37 C.F.R. §§ 1.17(k) and 1.52(d) - \$130.00)	\$		·
l		Fee for processing and retention of application (37 C.F.R. §§ 1.21(I) and 1.53(d) - \$130.00)	\$		
I	X	Assignment (See "ASSIGNMENT COVER SHEET".)	\$	40.00	
NOTE:	1.	7 C.F.R. § 1.21(I) establishes a fee for processing and retaining any application complete the application pursuant to 37 C.F.R. § 1.53(I) and this, as well as the .78, indicate that in order to obtain the benefit of a prior U.S. application, either and retention fee of § 1.21(I) within 1 year of notification under § 1.53(I) must be	e change r the basi	as to 37 C.F	FR 88 157 an
		Total completion fees	\$	235.00	
/N.		EXTENSION OF TIME			
7 14.		(complete (a) or (b), as applicable)			
The paper.	pro	ceedings herein are for a patent application, and the provisions	s of 37	C.F.R. § 1	l.136(a)
(a) [X	Applicant petitions for an extension of time, the fees for which §1.17(a)(1)-(4), for the total number of months checked below:	are set	out in 37	C.F.R.
_	_				

Extension (months)	Fee for other than small entity	Fee for small entity
☐ one month ☐ two months ☐ three months ☑ four months	\$ 110.00 \$ 400.00 \$ 920.00 \$1,440.00	\$ 55.00 \$ 200.00 \$ 460.00 \$ 720.00
	Fee:	\$720.00

If an additional extension of time is required, please consider this a petition therefor.

(Completion of Fiting Requirements - Nonprovisional Application [5-1] - page 4 of 6)